

200



PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                      |                        |                 |
|---|----------------------|------------------------|-----------------|
| <div>Total Number of Pages in This Submission</div> | Application Number   | 10/731,845-Conf. #4408 |                 |
|   | Filing Date          | December 9, 2003       |                 |
|   | First Named Inventor | Michael Kilian         |                 |
|   | Art Unit             | N/A                    |                 |
|   | Examiner Name        | Not Yet Assigned       |                 |
|   |                      | Attorney Docket Number | E0295.70198US00 |

## ENCLOSURES (Check all that apply)

|  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>PTO-1449 Form with cited reference<br>Return Receipt Postcard |
| <div>Remarks</div>   |  |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |                                |          |        |
|--------------|--------------------------------|----------|--------|
| Firm Name    | WOLF, GREENFIELD & SACKS, P.C. |          |        |
| Signature    |                                |          |        |
| Printed name | Richard F. Giunta              |          |        |
| Date         | March 19, 2007                 | Reg. No. | 36,149 |

## Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 3/19/07

Signature:



DOCKET NO.: E0295.70198US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen J. Todd et al.  
Serial No.: 10/731,845  
Confirmation No.: 4408  
Filed: December 9, 2003  
For: METHODS AND APPARATUS FOR GENERATING A  
CONTENT ADDRESS TO INDICATE DATA UNITS  
WRITTEN TO A STORAGE SYSTEM PROXIMATE IN  
TIME

Examiner: Unassigned  
Art Unit: 2157

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 19<sup>th</sup> day of March, 2007.

  
(Signature)

---

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing of a first Office action on the merits in the above-identified case. No fee or certification is required.

PART II: Compliance with 37 CFR §1.704(d)

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by the office of the undersigned more than thirty (30) days prior to the filing of this Information Disclosure Statement.

PART III: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Serial No.: 10/731,845  
Conf. No.: 4408

- 3 -

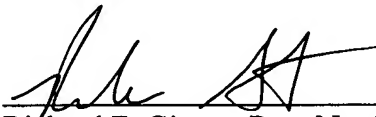
Art Unit: 2157

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

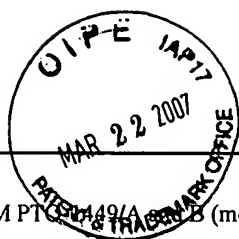
Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:   
Richard F. Giunta, Reg. No. 36,149  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: E0295.70198US00  
Dated: March 19, 2007  
**xNDDx**



FORM PTO/SB/08 (modified PTO/SB/08)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

APPLICATION NO.: 10/731845

ATTY. DOCKET NO.: E0295.70198US00

FILING DATE: December 9,  
2003

CONFIRMATION NO.: 4408

APPLICANT: Stephen J. Todd et al.

GROUP ART UNIT: 2157

EXAMINER: Unassigned

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

| Examiner's<br>Initials # | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited<br>Document | Date of Publication or Issue<br>of Cited Document<br>MM-DD-YYYY |
|--------------------------|-------------|----------------------|--------------|--|---|
|                          |             | Number               | Kind<br>Code |  |   |
|                          |             |                      |              |  |   |
|                          |             |                      |              |  |   |
|                          |             |                      |              |  |   |
|                          |             |                      |              |  |   |
|                          |             |                      |              |  |   |
|                          |             |                      |              |  |   |
|                          |             |                      |              |  |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner's<br>Initials # | Cite<br>No. | Foreign Patent Document |           |              | Name of Patentee or Applicant of Cited<br>Document | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Translation<br>(Y/N) |
|--------------------------|-------------|-------------------------|-----------|--------------|--|---|----------------------|
|                          |             | Office/<br>Country      | Number    | Kind<br>Code |  |   |                      |
|                          | 1           | EP                      | 0 881 580 | A2           | Shibayama, Kolchi                                  | 12-02-1998  |                      |
|                          |             |                         |           |              |  |   |                      |
|                          |             |                         |           |              |  |   |                      |
|                          |             |                         |           |              |  |   |                      |
|                          |             |                         |           |              |  |   |                      |
|                          |             |                         |           |              |  |   |                      |

**OTHER ART — NON PATENT LITERATURE DOCUMENTS**

| Examiner's<br>Initials # | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher,<br>city and/or country where published. | Translation<br>(Y/N) |
|--------------------------|-------------|---|----------------------|
|                          |             |   |                      |
|                          |             |   |                      |
|                          |             |   |                      |
|                          |             |   |                      |

EXAMINER:

DATE CONSIDERED:

# EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_, filed \_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).

[NOTE — No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]